



**SMC GLOBAL SECURITIES LTD.**

Central KYC Registry | Know Your Customer(KYC) Application | Individual

Application Details	
Application Number	50166085
Unique Client Code	MDZ1453
DP Client ID	
Branch/Associate Group	HO

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**Name of Stock Broker/Trading Member/Clearing Member:**

EXCHANGE/DEPOSITORY	MEMBERSHIP ID	SEBI REGISTRATION NUMBER
<b>NSE</b>	07714	INZ000199438
<b>BSE</b>	470	INZ000199438
<b>MSEI</b>	1002	INZ000199438
<b>NCDEX</b>	00021	INZ000199438
<b>MCX</b>	10515	INZ000199438
<b>ICEX</b>	1010	INZ000199438
<b>CDSL &amp; NSDL</b>	19101, 19103, 27000, N303655	IN-DP-130-2015
<b>MUTUAL FUND</b>	29345	NA

**Registered Office Address**

11/6B, Shanti Chamber, Pusa Road, New Delhi-110005.  
Phone : +91 11 30111000,  
Email ID: info@smcindiaonline.com

**Corporate Office**

11/6B, Shanti Chamber, Pusa Road, New Delhi-110005.  
Phone : +91 11 30111000,  
Email ID: info@smcindiaonline.com

**Compliance Officer Details :**

Name: Mr. Ashok Kumar Aggarwal  
Phone: +91 1130111000  
Email ID: compliance@smcindiaonline.com

**CEO Details :**

Name: Mr. Ajay Garg  
Phone : +91 1130111000  
Email ID : ceo@smcindiaonline.com

For any grievance/dispute please contact SMC GLOBAL SECURITIES LTD.(SMC) at the above address or mail at: igc@smcindiaonline.com and call us at our phone no. +91 1130111444 & +91 1800110909.

In case you are not satisfied with the response, please contact the concerned Exchange(s)/Depository(ies):

Exchange Name	E-mail ID	Phone No.
National Stock Exchange of India Ltd.	ignse@nse.co.in	1800-266-0058
Bombay Stock Exchange Ltd.	mahesh.ghadi@bseindia.com	022-22728517
Metropolitan Stock Exchange of India Ltd.	investorcomplaints@msei.in	022-61129000 Ext. 9028
National Commodity & Derivatives Exchange Ltd.	askus@ncdex.com	022-66406084
Multi Commodity Exchange of India Ltd.	grievance@mcxindia.com	022-67318888/022-66494000
India Commodity Exchange Ltd.	grievance@icexindia.com	022-40381456
CDSL	complaints@cdslindia.com	1800-200-5533

NDSL	relations@nsdl.co.in	1800-222-080
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You can also lodge your grievances with SEBI at [http:// scores.gov.in](http://scores.gov.in) For any queries, feedback or assistance, please contact SEBI office or toll free Helpline at 1800-22-7575 / 1800-266-7575

**Filing of complaints on SCORES – Easy & quick**

- a. Register on SCORES portal
- b. Mandatory details for filing complaints on SCORES: Name, PAN, Address, Mobile Number, Email ID
- c. Benefits: Effective communication Speedy redressal of the grievances

**SCORES website:** <https://scores.gov.in/scores/Welcome.html>

## CENTRAL KYC REGISTRY | (KYC) Application Form | Individual


1. Personal Details		Lat:28.6326784, Long:77.1817472
Name*	SWAPNA MAHATO	
Maiden Name (If any*)		
Father/Spouse Name*	Bishan Das Bhardwaj	
Mother Name*	sandhya Bhardwaj	
Date of Birth*	04/12/2004	
Gender*	FEMALE	
Marital Status*	Married	
Citizenship*	Indian	
Residential Status*	Individual	
Occupation Type*	Private Sector	
Country of Jurisdiction of Residence*		
Place/City of Birth*		
		
16-12-2022 11:50:15		
<b>FATCA &amp; CRS Declaration – Individuals</b>		
Are you the TAX Resident of any country other than India	No	
If yes please fill the following:		
#Country	%Tax Identification Number	Identification Type
NOT APPLICABLE	NOT APPLICABLE	NOT APPLICABLE
NOT APPLICABLE	NOT APPLICABLE	NOT APPLICABLE
Foreign Address 1		
Foreign Address 2		
Foreign Address 3		
Foreign City	Foreign State	
Foreign Country	Foreign Pin	
<b>2. Proof of Identity</b>		
PAN*	GNYPM8098D	
AADHAAR*		
<b>3. Proof of Address</b>		
Address Type*	RESIDENTIAL / BUSINESS	
Proof of Address*	AADHAAR	
<b>Correspondence Address</b>		
Address Line 1*	S/O Bishan Dass Bhardwaj SHOP NO-2 MAIN MARKET SUBHASH	
Address Line 2	NAGAR West Delhi Delhi 110027	

Address Line 3			
City/Town/Village*	West Delhi	District*	West Delhi
State*	Delhi	Country*	INDIA
PIN Code*	110027		

<b>Permanent Address</b>			
Address Line 1*	S/O Bishan Dass Bhardwaj SHOP NO-2 MAIN MARKET SUBHASH		
Address Line 2	NAGAR West Delhi Delhi 110027		
Address Line 3			
City/Town/Village*	West Delhi	District*	West Delhi
State*	Delhi	Country*	India
PIN Code*	110027		

<b>4. Contact Details</b>			
Tel.(Off.)		Tel.(Res.)	
Mobile No.*	9717057771	Fax	
Email ID*	MANISHBHARDWAJ@smcindiaonline.com		

<b>5. Details of Related Person</b>	
Name	NA
Related Person Type	NA
PAN	NA

<b>6. Applicant Declaration</b>		
<p>*I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting. I am aware that I may be held liable for it.</p> <p>*I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.</p>		
Date: 16/12/2022	Place: West Delhi	

<b>7. Attestation/For Office use only</b>					
Document Received	Verified Copies				
<b>Intermediary/Institution Details</b>		<b>In-person verification (IPV), Documents verified with original &amp; Client interviewed by</b>			
Name	SMC Global Securities Ltd.	Employee / AP name		HO	
		Employee / AP ID	HO	Designation	Sales
CKYC Institution Code	IN1100	Signature			
		Date	16/12/2022		

8. Bank Account Details			
Primary Bank Details (For DP and Trading)			*This bank detail is verified by penny drop.
Bank Name	ICICI BANK LIMITED		
Account No.	62940157582	Branch	12 MSR ICICI BANK LTD. VILLAGE 12
UPI ID			
IFSC	ICIC0002293	MICR Code	335229504
Address	12 MSR ICICI BANK LTD. VILLAGE 12 MSR - 335504 POST MOONSARI TEHSIL BHADRA H		
Account Type	Saving	Pay-Out Option	NEFT

9. Depository Account Details			
I/We already have Demat A/c			
Depository Name	SMC GLOBAL SECURITIES LTD~IN303655		
DP ID		BO ID	
Name of Depository Participant	SWAPNA MAHATO		
Demat Account Proof Submitted			

### 10. Trading Preference

Please sign in the relevant boxes where you wish to trade.

Exchange	Segment				
	Cash Market	F&O	Currency Derivatives	Mutual Fund	Commodity
NSE					
BSE					
MCX					
NCDEX					
MSEI					

*If you wish to trade in derivatives (F&O/Currency/Commodity) segments, submit proof of financial details(Mandatory)	Latest Salary Slip
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11. FACILITIES	
SMS and E-mail alerts from Exchanges/Depository	BOTH
Whether you wish to receive Contract Note & Standard documents in physical or electronic mode	ELECTRONIC
*Standard Documents Rights & Obligations, Risk Disclosure Document, Guidance Note and Policies & Procedures	
I/We wish to avail facility of internet trading / wireless technology	YES

12. Trading History			
Trading Experience / Number of Years of Investment		1	
Stock Profile		NIL	
If you are dealing with any other stock broker, please provide following detail			
Name of the stock broker		Not Applicable	
Name of AP (If any)		Not Applicable	
Details of disputes / dues pending from / to such stock broker / AP (In case dealing with multiple stock brokers, please provide details of all.)		Not Applicable	
Client Code(UCC)	NA	Exchange	NA
Past Actions Details of any action / proceedings initiated / pending / taken by SEBI / Stock Exchange / any other authority against the applicant / constituent or its partners / promoters / whole time directors / authorised persons in charge of dealing in securities during last 3 years.			NOT APPLICABLE
13. Income, Education & Others Details			
Gross Annual Income Detail		Below 5 Lakh	
Net-worth	10000	As on(date)	15/12/2022
Occupation		Private Sector	
Educational Details		Graduate	
Client Category for Commodity Derivatives		Non-commercial participant (financial participant/trader/arbitrager)	
		<i>Other in case of any changes in category, inform us immediately in writing.</i>	
Politically Exposed Person/Related to a Politically Exposed Person		NO	
Is the entity involved providing any of the following services			
- For Foreign Exchange / Money Changer Services		NO	
- Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates)		NO	
- Money Lending / Pawning		NO	

14. DETAIL OF INTRODUCER			
Name			
Registration No. or Code			
PAN		Phone	
Address			

#### ACKNOWLEDGEMENT

I/We hereby acknowledge to have received / will receive from SMC Global Securities Limited, (the Member) copy of an



account opening book, for my/our record, containing –

1. Risk Disclosure Document.
2. Rights and Obligations of Members, Authorized Persons and Clients as prescribed by SEBI and Commodity Exchanges including Terms and Conditions as to Internet & Wireless Technology Based Trading Facility Provided by Member to the Client.
3. Guidance Note - Do's and Don'ts for the clients.
4. Policies and Procedures.
5. Policies and procedures of the stock broker related to Margin Trading Facility (MTF).
6. Rights and Obligations of beneficial owner and Depository Participant as prescribed by SEBI and Depositories.
7. Dos & Don'ts for Demat Account

I/We have fully understood each one of the above and filled the forms as per instructions contained therein. All of my/our queries have been satisfactorily clarified. I/We will be provided photo/scan copies of account opening documents signed by me/us after scrutiny and acceptance by the Member's office.

Signature	First Holder 

**15. Demat Account Opening - NSDL**

I/ We request to open a Depository Account in my / our name as per the following details. Date : 16/12/2022

**15a. Type of Account**

<b>Status</b>	Individual	<b>Sub-status</b>	Individual Resident
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**15b. Account Holder Details**

<b>Name of sole/1st Holder</b>	SWAPNA MAHATO		
<b>UID</b>		<b>PAN</b>	GNYPM8098D
<b>Name of 2nd Holder</b>	NOT APPLICABLE		
<b>UID</b>	NOT APPLICABLE	<b>PAN</b>	NOT APPLICABLE
<b>Name of 3rd Holder</b>	NOT APPLICABLE		
<b>UID</b>	NOT APPLICABLE	<b>PAN</b>	NOT APPLICABLE
<b>Nationality</b>	INDIAN		

**15c. NSDL - Details of Guardian (In case the account holder is minor)**


<b>Name of Guardian (Mr./Mrs./Ms.)</b>	NOT APPLICABLE		
<b>Relationship</b>	NOT APPLICABLE	<b>PAN</b>	NOT APPLICABLE

**15d. NSDL - Additional Detail**

<b>I/We authorise you to receive credits automatically into my / our account</b>	YES
<b>Account to be operated through Power of Attorney (POA)</b>	YES
<b>I/We request you to send Electronic Transaction-Cum-Holding Statement through E-mail</b>	YES
<b>SMS Alert Facility on Mobile Number as given in KYC Form (Mandatory, If you have given PoA.)</b>	YES
<b>Mode of receiving statement of account</b>	Electronic

**15e. Declaration**

The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/ we are aware that I/we may be held liable for it. In case non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations. I/we acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant.

	<b>Sole / First Holder</b>	<b>Second Holder</b>	<b>Third Holder</b>
<b>Signature</b>		NOT APPLICABLE	NOT APPLICABLE

**15. Demat Account Opening - CDSL**

I/ We request to open a Depository Account in my / our name as per the following details. Date : 16/12/2022

**15a. Type of Account**

<b>Status</b>	Individual	<b>Sub-status</b>	Individual Resident
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**15b. Account Holder Details**

<b>Name of sole/1st Holder</b>	SWAPNA MAHATO		
<b>UID</b>	0	<b>PAN</b>	GNYPM8098D
<b>Name of 2nd Holder</b>	NOT APPLICABLE		
<b>UID</b>	NOT APPLICABLE	<b>PAN</b>	NOT APPLICABLE
<b>Name of 3rd Holder</b>	NOT APPLICABLE		
<b>UID</b>	NOT APPLICABLE	<b>PAN</b>	NOT APPLICABLE
<b>Nationality</b>	Indian		

**15c. CDSL - Details of Guardian (In case the account holder is minor)**


<b>Name of Guardian (Mr./Mrs./Ms.)</b>	NOT APPLICABLE		
<b>Relationship</b>	NOT APPLICABLE	<b>PAN</b>	NOT APPLICABLE

**15d. CDSL - Additional Details**

<b>Account statement requirement</b>	As Per SEBI
<b>I/We instruct the DP to receive each and every credit in my / our account</b>	YES
<b>I/We wish to receive dividend/interest directly into my/our Bank A/c through ECS (If not marked, the default option would be 'Yes'. ECS is mandatory for locations notified by SEBI from time to time.)</b>	YES
<b>I/We request you to send Electronic Transaction-Cum-Holding Statement through E-mail (Please mention E-mail ID in KYC form)</b>	YES
<b>SMS Alert Facility on Mobile Number as given in KYC Form (Mandatory, If you have given POA. If POA is not granted and you do not wish to avail of this facility, cancel this option)</b>	YES
<b>I/We would like to instruct the DP to accept all the Pledge instructions in my / our account without any other further instruction from my / our end.</b>	YES
<b>I/We would like to share the E-mail ID with the RTA.</b>	YES
<b>I/We would like to receive the annual report (If not marked, default option will be 'Physical')</b>	Electronic

**15e. Declaration**

I/We have received and read the Rights & Obligations document and Terms & Conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me / us above are true and to the best of my / our knowledge as on the date of making this application. I / We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I / We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.


	<b>Sole / First Holder</b>	<b>Second Holder</b>	<b>Third Holder</b>
<b>Signature</b>		NOT APPLICABLE	NOT APPLICABLE

## 16. Brokerage Structure

- OPTION 1:** I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL/NSDL account though I /we have issued a Power of Attorney (POA) in favour of SMC Global Securities Limited for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member.
- Or
- OPTION 2:** I / We do not require the Delivery Instruction Slip (DIS) for the time being, since I / We have issued a POA in favour of SMC Global Securities Limited and/or will be using eDIS facility for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

## 17. Declaration

- I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/We may be held liable for it.
- I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
- I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.
- I/We declare that SMC Global Securities Limited, the brokers, has put me/us on notice that it is engaged in not only client based trading but also in pro-account trading.

Client Signature			
	First / Sole Holder	Second Holder	Third Holder
Sign of Client		NOT APPLICABLE	NOT APPLICABLE
Date:	16/12/2022	Place:	West Delhi

## 18. Brokerage Structure

Brokerage Scheme Code	
AOC Scheme	Standard free AOC for direct Clients
Margin Amount	

Segment	Percentage	Min INR	Max INR
Equity Cash-Intraday	0.050	0.050	n/a
Equity Cash-Delivery	0.500	0.050	n/a
F&O -Future	0.050	0.050	n/a
F&O -Option	1.250	100.000	100.000
Currency - Future	0.050	0.050	n/a
Currency - Option	1.250	25.000	25.000
Mutual Fund	0.500	0.050	n/a
Commodity Future	0.050	n/a	n/a
Commodity Option	1.250	250.000	250.000

### Notice:

- Rs. 25/- will be charged minimum per segment.
- Securities Transaction Tax (STT) & Commodities Transaction Tax (CTT), GST, Other Charges, Stamp Duty and Other Statutory Charges will be levied separately as applicable from time to time.
- Transaction charges will be levied extra as per Company policy as applicable from time to time.
- The company reserves the right to review brokerage, transaction charges and other charges within limits set by SEBI, with sufficient prior notice.

## 19. Account Opening Charge

AOC Scheme Name		Standard free AOC for direct Clients, Charges: 0		
Scheme Particulars	Regular Scheme%	LIFE-5 Scheme (5 Year upfront AMC)#	LIFE-Q Scheme (Life Time AMC-2)@	Free Demat Scheme\$
Account Opening Charges^	599/-	1799/-	1199/-	750/- (Refundable)
Account Maintenance Charges (AMC)	399/- p.a.	Nil (for 5 Years)	Nil	399/- p.a.
Market Sell Transaction Charges - POA	0.02% subject to Min 20/- & Max 100/- per Instruction			0.03% subject to Min 30/- per Instruction
Market Sell Transaction Charges - Non POA	0.03% subject to Min 25/- & Max 100/- per Instruction			0.03% subject to Min 40/- per Instruction
Off Market Transaction Charges	0.03% subject to Min 30/- & Max 100/- per Instruction			0.03% subject to Min 40/- per Instruction
Common Tariff applicable to all Scheme				
Dematerialisation	20/- Per Certificate (Rs. 30/- for 1st Certificate) Courier Charges Extra (Rs.25/- for Local & Rs.40/- for outstation courier)			
Rematerialisation	30/- per Certificate (1 Certificate / 100 shares) Courier Charges Extra (Rs.25/- for Local & Rs.40/- for outstation courier)			
Additional Statement Charges	5/- Per page, postal/courier charges will be extra (Applicable on daily/weekly/fortnightly statement also)			
MF Restat/Redemption Charges	25/- per Instruction	Demat Rejection/DIS Rejection Charges		25/- Per Instance
Pledge Creation	60/- per Instruction	Pledge Closure / Invocation		30/- per Instruction
Margin Pledge	10/- per Instruction	Margin Unpledge Charges		10/- per Instruction
Delivery Instruction Book Charges	30/- (20 Leafs)	Delivery Instruction Slip by FAX		5/- Per Instruction
Client Modification/CML Charges	25/- Per request	Additional Client Master Charges		25/- Per request

### Terms and conditions

\* Privilege (ODIN Diet/Application) charges will be Rs. 500/- p.a. & will be reversed if Gross Brokerage of Rs. 3,000/- is generated in 3 months.

^ Account Opening Charges are for trading account only and inclusive of Goods and Service Tax (GST). For all remaining charges, GST will be levied separately.

%First year AMC is free in regular scheme accounts. From next year onwards, AMC as per schedule of charges will be levied.

# AMC of Rs. 1000/- plus GST (POA) & Rs. 1500/- plus GST (NON POA) will be levied at the end of 5 year.

& If account is closed within a year, AMC as per regular Scheme will be levied. Security Deposit will be refunded on account closer after deducting outstanding Charges.

@If there is no delivery based transaction in capital market in a Calendar Quarter, Account will be treated as Non-active and Rs 100 plus GST will be charged as idling charge for that quarter in the said account. Accordingly ODIN Diet/Application will also be deactivated and reactivation charges will be Rs. 250/-. Email ID is mandatory.

\$ Rs. 10,000 minimum cash margin is required at the time of Account Opening. Account Opening Charges of Rs. 750/- will be reversed if Gross Brokerage of Rs. 750/- is generated in 3 months.

Other Charges: Cash Segment - Max of 0.02% on Transaction Value, Futures Segment - Max of 0.01% on transaction Value, Options Segment - Max of 0.006% on Transaction Value. Other Charges represents a consolidated charge towards providing various value added services such as Trade confirmation SMS, Electronic Account Access, Portfolio Tracker, access to research reports, Market News SMS and other value added services.

The Company reserves the right to modify/add/withdraw any scheme at any time without prior notice. In case of any

upward revision in charges, 30 days notice would be given by email/post shall be treated as sufficient notice.

-AMC will be charged yearly in advance.

-Any Service not mentioned above will be charged extra. Above charges are applicable only to resident Indian individual and domestic corporate. For NRI and Foreign Corporate Body (FCB), different charges are applicable.


-In case of non-payment of bills/ dues within 15 days of due date, the DP services are liable to be discontinued within a period of 30 days from the date of demand. The renewal charges for resuming the services will be Rs. 100/-.

-Security deposit for CDSL's 'easiest' facility (optional) is Rs. 2,500/-.

-Demat Charges for on market sale transactions will be debited directly in client's bill.

-If NOW is activated for other than NSE, then Rs. 150 plus GST per segment will be charged every month or part thereof.

I/We have read the above given information and agree to pay the same. I/We authorize you to debit the trading account maintained with you for the account opening charges for trading account payable to you. Any such sum debited to my account shall be binding on me/us.

Client Signature			
	First / Sole Holder	Second Holder	Third Holder
Sign of Client		NOT APPLICABLE	NOT APPLICABLE

## 20. Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL

### Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

- "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
- DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
- BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
- SMS means "Short Messaging Service"
- "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
- "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
- "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

### Availability:

- The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
- The service is currently available to the BOs who are residing in India.
- The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
- The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

### Receiving Alerts:

- The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard
- The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
- The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
- The BO further acknowledges that the service provided to him is an additional facility provided for his convenience

and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.

5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. **The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cDSLindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.**
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

**Fees:**

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

**Disclaimer:**

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

**Liability and Indemnity:**

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

**Amendments:**

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

**Governing Law and Jurisdiction:**

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai. I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of **REGISTRATION / MODIFICATION** (Please cancel out what is not applicable).

<b>BOID</b>				
<b>Mobile Number on which messages are to be sent</b>	9717057771			
<b>I hereby declare that the aforesaid mobile number belongs to</b>	SELF			
<b>Email ID</b>	MANISHBHARDWAJ@smcindiaonline.com			
<b>I hereby declare that the aforesaid E-mail ID belongs to</b>	SELF			
<b>Client Signature</b>				
	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%; text-align: center;"><b>First / Sole Holder</b></td> <td style="width: 33%; text-align: center;"><b>Second Holder</b></td> <td style="width: 33%; text-align: center;"><b>Third Holder</b></td> </tr> </table>	<b>First / Sole Holder</b>	<b>Second Holder</b>	<b>Third Holder</b>
<b>First / Sole Holder</b>	<b>Second Holder</b>	<b>Third Holder</b>		



Sign of Client		NOT APPLICABLE	NOT APPLICABLE
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## 21. Authorisation for maintaining Running Account (As per SEBI Guidelines vide circular MIRSD/ SE /Cir-19/2009 Dt. December 3, 2009)

I/We confirm that I/We am/are desirous of regularly dealing in CM, F&O, CDS and Commodity segments of the stock exchange(s). I/We request you to maintain the account for funds, with you on a running account basis. I/we also request you to consider the balances in my/our running funds account for the purpose of margins/any other of my/our obligations due to you. I/We understand and agree that no interest will be payable to me/us on the amounts so retained by you. I/We agree and empower/authorize you

- to act in your discretion of merging balances kept under various accounts held with you, such as CDSL / NSDL DP Account, CM Trading Account, F&O Trading Account, Currency Trading Account, Commodity Trading Account, Online IPO / MF Account and MFSS etc., to nullify the debit in any of my/our other account held with you without taking any further instructions from me/us;
- to debit my/our trading account towards depository charges payable by me/us to the designated depository participant and make onward payment to the designated depository participant upon receipt of intimation from the designated depository participant.

I/We have the liberty to revoke this authorization at any time in writing with prospective effect. While settling the account you will be sending me/us a 'statement of account', containing an extract from the client ledger for funds and an extract from the register of stocks displaying all receipts/deliveries of funds/stocks. The statement shall also explain the retention of funds/stocks. Such periodic settlement of the running account shall not be necessary when (a) I start availing margin trading facility as per SEBI circulars; or (b) The margin provided by me to you is in the form of Bank Guarantee (BG)/Fixed Deposit Receipt (FDRs).

On actual settlement date you may retain the requisite stocks/funds towards any outstanding obligation and may also retain additional margin requirement on the day of settlement to take care of my margin obligation arising in the next 5 trading days, calculated in the manner specified by respective Exchanges.

I will bring any discrepancy or dispute arising from the Statement of account so issued by you or the settlement made by you to your notice preferably within 7 working days from the date of receipt of funds / stocks or statement as the case may be.

I/We understand that, unless otherwise required to meet my obligations for margin or on settlement you shall transfer the funds/stocks lying with you in credit of my/our account within one working day and those lying with the Clearing Member or Clearing Corporation within three working days of my request for transfer.

My/Our preference for actual settlement of funds and stocks is at least:

[\*] Once in a calendar Quarter

OR

[ ] Once in a calendar month

I/We further authorize you to retain an amount of up to Rs. 1,000/- (net amount across segment and across stock exchanges) in order to avoid administrative/operational difficulties in settling my/our account. The same can be release on my/our specific request

Signature of Client	
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## 22. SANCTION OF TRADING LIMIT ON THE SECURITY OF COLLATERALS

I/we wish to avail and enjoy from time to time trading limits against security of my/our shares and securities in my/our Demat Account(s) with your DP. I/we understand that the limit to be sanctioned to me/us will be based on the value of the securities after hair-cut as applicable from time to time and will be in the sole discretion of SMC.

I/we undertake not to withdraw or otherwise dispose off any of the securities on which limit is granted and you shall be fully entitled to ignore or reject my/our debit/payment instructions in whole or in part so as to first provide for the security cover to my/our trades allowed by you. You shall be fully authorized to adjust any sale proceeds of securities in my/our trading account to first reduce the limit before returning to me/us.

All the dues in the trading account including those in respect of trades utilizing the limits granted to me/us shall be my/our liability and you shall be further entitled to exercise the Power of Attorney separately granted to you with all the powers therein, in respect of my DP A/c and Bank A/c in relation to my/our trading account, for the purpose of pay-in obligations and/or to liquidate debit balance and/or to meet margin or losses in respect of the trades in the said trading account.

I/We declare that the security shall be a continuing security and will be operative even though limit is utilized in part or not utilized or nothing or part is due in the trading account, unless on my/our request and in view of the overall position of my/our



obligations and funds and other security, if any, the limit is reduced or the securities are released for the purposes of limit.

Signature of Client	
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### 23. AUTHORITY LETTER

With respect to the client - member relationship with you, we authorize you to do the following:

- 1. Adjustment of account between exchanges and segments** : I/we hereby inform you that I have executed/intend to execute an agreement with you for trading on different exchanges in CM, F&O, CDS and Commodity segments. I/We request you to transfer, make adjustments and/or set off a part or whole of the stocks placed as collaterals and/or any surplus funds in any of the accounts maintained with you against the outstanding dues payable if any, by me/us to any of my/our account(s) maintained with you. You will have lien on the credit balance in any of my/our account for meeting the debit or obligations in progress in any other of my/our account. Any entries passed by you in accordance with this authorization will be binding on me/us.
- 2. Authorization to debit Demat Account charges** : I/We opened/have a Beneficiary account with you and I/we have a trading account with you for investment and trading purpose. It will be very difficult for me/us to issue separate cheques against your depository bills. Hence, I authorize you to debit the trading account for the debit charges payable to you as Depository Participant for providing depository services. Any such sum debited to my/our account shall be binding on me/us.
- 3. Authorization For Communication Of Financial Products** : I/We have opened an account with you and am/are interested in knowing about other financial products. I/We authorize you, your group companies and associates to keep me/us informed with any financial product which SMC Global Securities Ltd., its group companies and associates presently issue, deal in, or distribute or may, from time to time, launch, issue, deal in or distribute; through E-mail, SMS, telephone , print media or otherwise. This is without legal obligation on you, your group companies and associates to so inform and you or they may, in their discretion, discontinue sending such information.
- 4. Authorization for verification of information from independent agency(ies)** : I/We, do hereby certify that the information provided by me/us in this account opening form is true and correct in all respects and SMC Global Securities Limited (SMC) is entitled to verify this directly or through any third party agent. I/We further acknowledge the SMC's right to seek any information from any other source in this regard. I/We do understand that all the information will form the basis of any facility that SMC may decide to grant me/us at its sole discretion. I/We consent and authorize SMC to share or generate subsequently any report through CIBIL or authorized agency/bureau.
- 5. Authorization for not Providing Confirmation Slips** : I/we authorize you not to provide me/us Order Confirmation / Modification / Cancellation Slips and Trade Confirmation Slips to avoid unnecessary paper work. I/We shall get the required details from the contract notes issued by you.

Please score out whatever not accepted/ not agreed with.

Signature of Client	
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### 24. REQUEST FOR TRADING IN COMMODITY FORWARD CONTRACTS / COMMODITY DERIVATIVES (MANDATORY FOR NCDEX CLIENTS)

**Subject** : My/ Our request for trading in commodity forward contracts/ commodity derivatives on NCDEX as your client I/ We, the undersigned, have taken cognizance of circular no. NCDEX / TRADING-114 / 2006 / 247 dated September 28, 2006 issued by the National Commodity & Derivatives Exchange Limited ( NCDEX ) on the guidelines for calculation of net open positions permitted in any commodity and I /We hereby undertake to comply with the same.

I /We hereby declare and undertake that we will not exceed the position limits prescribed from time to time by NCDEX or Forward Markets Commission and such position limits will be calculated in accordance with the contents of above stated circular of NCDEX as modified from time to time.

I/We undertake to inform you and keep you informed if I/ any of our partners / directors / karta / trustee or any of the partnership firms / companies / HUF's / Trusts in which I or any of above such person is a partner / director / karta / trustee, takes or holds any position in any commodity forward contract / commodity derivative on NCDEX though you or through any other member(s) of NCDEX, to enable you to restrict our position limit as prescribed by the above referred circular of NCDEX as modified from time to time.

I /We confirm that you have agreed to enter orders in commodity forward contracts/ commodity derivatives for me/ us as your clients on NCDEX only on the basis of our above assurance and undertaking.

Signature of Client	
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### 25. FORM FOR REGISTRATION AND VERIFICATION OF MOBILE NUMBER AND EMAIL ADDRESS (FOR NCDEX CLIENTS)

We/I are/ am aware that National Commodity & Derivatives Exchange Ltd (NCDEX) provides SMS/email alerts to the constituents (clients) of its member for trades executed on its platform. We/I hereby provide and confirm my/our mobile number and/or email address as stated below for the purpose of receipt of SMS/email alerts.

I want to receive transaction alerts in SMS as well as Email from NCDEX

The alerts should be sent on:

Email Id: MANISHBHARDWAJ@smcindiaonline.com

Mobile: 9717057771

We/I agree to the terms and condition specified by the Exchange in its circular no. NCDEX/COMPLIANCE- 007/2012/093 as

modified from time to time. We/I are/am aware that the receipt of SMS/Email alerts on the above mobile number and/or email address can be stopped only on our/my written request.

<b>Signature of Client</b>	
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**26. CONSENT FORM FOR RECEIVING TRADE ALERT THROUGH SMS AND / OR E-MAIL (FOR MCX CLIENTS)**

I/We, MR SWAPNA MAHATO , a client with SMC Global Securities Ltd (Member ID 10515) of MCX undertake as follows:

1. I/We are aware that Multi Commodity Exchange (MCX) provides details of the trades executed on its trading platform to the concerned clients/constituents through SMS and E-mail alerts.
2. I/We are aware that the member has to provide the trade details through SMS/E-mail alerts for my convenience at my request only.
3. I/We hereby confirm that I/we wish to receive trade alerts through: SMS and E-mail. The alerts
4. should be sent on : Mobile Number : 9717057771 Email ID : MANISHBHARDWAJ@smcindiaonline.com

I/We agree to the terms and condition specified by the Exchange vide circular No. MCX/T&S/165/2012 dated April 26, 2012 and circulars/ clarifications issued by Exchange from time to time in this regard. I/We are/am also aware that this is an additional facility provided by the exchange and I/we shall not solely rely or use such data for any purpose and, Exchange shall not be liable for any direct or indirect loss of any nature because of providing this additional facility.

<b>Signature of Client</b>	
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**27. AUTHORISATION TO SEND CONTRACT NOTE, STATEMENT OF FUNDS & SECURITIES ETC. ELECTRONICALLY**

I/we authorise you to issue the contract notes, statement of funds & securities, circulars, amendments, Client Registration Documents and such other documents in electronic mode in lieu of physical mode on my E-mail ID as given in KYC form and updated from time to time.

Any change in the E-mail ID shall be communicated by me / us in writing to your customer care department or through secured login available on your website.

Further, to my /our authority to you to issue Contract Notes in digital format I/we authorise you not to provide me/us Order Confirmation / Modification / Cancellation Slips and Trade Confirmation Slips to avoid unnecessary paper work. I/We shall get the required details from the Contract Notes issued to us.

I/We authorize you to send periodic statements of funds and securities & margin statement etc. in digital format at my Email address unless I/We request you in writing to send them in paper form.

I/We hereby acknowledge that all the documents as send above by SMC electronically will be considered as having delivered to me once the e-mail leaves the SMC e-mail server unless the same is rejected by client e-mail server and bounced mail notification is not received by SMC. I/We further agree that in the absence of communication from my/our end regarding non-receipt of documents through e-mail, or notification of any discrepancy within 2 days from the date of issuance of digital contract note through e-mail, SMC may construe that there is a deemed acknowledgement of the document(s) send to client electronically.

Signature of Client



**28. INTRADAY / HIGH MULTIPLIER FACILITY**

I/We are registered / registering with you as a 'Client' for availing your services and have/are executing various documents such as KYC (Know Your Client Form) etc. which establish the relationship between us and determine the inter se rights and liabilities between you and me/us.

I/We desire to avail the Intraday/High Multiplier Facility from you. I/We agree that the Facility availed by me/us will always be subject to KYC Documents executed by me/us, which terms and conditions shall always be read as part and parcel of this application and its terms and conditions as specified hereunder.

**TERMS AND CONDITIONS**

a) The details of the Facility as amended from time to time are / will be available on web site: [www.smcindiaonline.com](http://www.smcindiaonline.com). I/We agree that SMC may impose additional terms other than those specified herein and/or change the existing terms of Facilities and/or withdraw the same (or the Facility) for one or more Clients without prior notice and for this no claim shall lie against SMC.

b) The Facility may be allowed only for selective contracts as displayed on the website.

c) In Intraday/High Multiplier Facility, the Client will be allowed leverage for intra-day trading on available margin/security/collateral. The leverage available shall be liable to change as per SMC's risk management policy.

d) The Client can be put under square off mode for the day if once his MTM loss reaches or crosses stipulated margin percentage of available margin and all positions of Clients are liable to be automatically squared off at once even if it happens before Auto Square off Time (as defined by SMC). SMC may prescribe / change stipulated margin percentage depending upon exchanges / regulatory requirements and/or changes in the market conditions etc.

e) Client has the sole responsibility to monitor his positions throughout the day and take necessary/ appropriate actions, for which responsibility cannot be transferred to SMC. All open positions of Client, not squared off by Client prior to designated time will be taken control off by trading system. This designated time shall generally be during the last hour of the trading hour(s) on the exchange. After taking over control trading system may attempt for Automatic Square off at market rates. This shall be done by first cancelling all unexecuted pending orders and thereafter square off the executed orders by putting counter orders at market rate. The time upon which this square off attempt may be started by the trading system shall be the "Auto Square off Time". However there is no surety that square off by trading system will be successful partially/fully. This can happen due to various factors such as market volatility or connectivity related issues etc. Therefore Client should not leave square off of open positions on the trading system but to square off open positions by their own efforts.

**DECLARATION**

I/We hereby declare that the decision to register / enroll under the Intraday/High Multiplier Facility and for making all trades thereby is/ shall be entirely mine/ours and I/we have neither been given nor have been in any way influenced by you/your officers with any financial or other advice regarding the suitability or profitability of availing the Intraday/High Multiplier Facility as stated above or making any trades thereby. I/We may suffer losses on account of square off/non-square off by SMC within or at the end of the day and I agree to bear such losses without protest or claim against SMC.

I/We have read and accepted all terms and conditions specified herein above including those in paragraphs 1 to 5, their sub-paragraphs above and further declare that by clicking on submit button I / we agree to be bound by all of them.

Signature of Client



### 29. CONSENT FOR AVAILING MARGIN TRADING FACILITY (MTF)

I/We wish to avail the Margin Trading Facility (MTF) on the platform of National Stock Exchange of India Limited and BSE Limited as per the framework prescribed by SEBI vide its' circular No. CIR/MRD/DP/54/2017 dated Jun 13, 2017.

Further, I/We have read and understood "Rights and Obligation of Stock Brokers & Client for Margin Trading Facility (MTF)" as prescribed by NSE vide its Circular No. NSE/COMP/35260 dated June 30, 2017 and BSE vide its Circular No. 20170619-26 dated June 19, 2017.

I/We have read & understood the "Policies & Procedures for MTF" as specified by SMC Global Securities Limited regarding terms and conditions of Margin Trading Facility, method of communication for confirmation of orders/trades, margin calls and calls for liquidation of collateral/security/positions etc and agreed to abide by the clauses mentioned therein all the times. Further, I/we will refer to SMC's website from time to time for any updation w.r.t policies & procedures.

Signature of Client



### 30 MUTUAL FUND SERVICE SYSTEM FACILITY

I/We SWAPNA MAHATO

am/are registered as your client with Client

Code No. MDZ1453 and have entered into Trading Member and Client Relationship for the purpose of trading in the Capital Market segment of Bombay Stock Exchange Ltd./ National Stock Exchange of India Ltd. (Exchange).

I/We am/are interested in availing the BSE STAR MF/MFSS facility of the Exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the BSE STAR MF/ MFSS of the Exchange.

For the purpose of availing the BSE STAR MF/ MFSS facility, I/we state that Know Your Client details as submitted by me/us for the stock broking may be considered for the purpose of BSE STAR MF/ MFSS and I/we further confirm that the details contained in same remain unchanged as on date.

I/We are willing to abide by the terms and conditions as mentioned in the Circular dated 24, November 2009 & 2, December, 2009 for NSE & BSE Respectively and as may be specified by the Exchanges from time to time in this regard.

I/We shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI).

I/We shall read and understand the contents of the of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I/we choose to subscribe/redeem. I/We further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes.

I/We therefore request you to register me/us as your client for participating in the MFSS/ BSE STAR MF.

### 31. Details of terms & conditions for the Investor / Client for using New BSE STAR MF / MFSS facility

#### A. Pre-requisites for becoming Investor / Client for the BSE STAR MF/MFSS facility

1. The client who is desirous of investing in units of mutual fund schemes through the New BSE STAR MF/MFSS.
2. The Client intends to execute his instruction for the subscription/redemption of units of Mutual Fund Schemes through the Participant of the New BSE STAR MF/MFSS.
3. The client has satisfied itself of the capacity of the Participant to deal in Mutual Fund units and wishes to execute its instruction through the Participant and the client shall from time to time continue to satisfy itself of such capability of the Participant before executing transacting through the Participant.
4. The Client has approached to the Participant with the application for availing the New BSE STAR MF/MFSS facility.
5. The client has submitted relevant KYC (Know Your Client) details to the Participants

#### B. Terms and Conditions

1. The client shall be bound by circulars issued by BSE/NSEIL, Rules, Regulations and circulars issued there under by SEBI and relevant notifications of Government authorities as may be in force from time to time.
2. The client shall notify the Participant in writing if there is any change in the information in the 'client registration form' provided by the client to the Participant at the time registering as a client for participating in the New BSE STAR MF/MFSS or at any time thereafter.

3. The client shall submit to the Participant a completed application form in the manner prescribed format for the purpose of placing a subscription order with the Participant.
4. The client has read and understood the risks involved in investing in Mutual Fund Schemes.
5. The client shall be wholly responsible for all his investment decisions and instruction.
6. The client shall ensure continuous compliance with the requirements of the BSE, NSEIL, SEBI and AMFI.
7. The Client shall pay to the Participant fees and statutory levies as are prevailing from time to time and as they apply to the Client's account, transactions and to the services that Participant renders to the Client.
8. The client will furnish information to the Participant in writing, if any winding up petition or insolvency petition has been filed or any winding up or insolvency order or decree or award is passed against him or if any litigation which may have material bearing on his capacity has been filed against him.
9. In the event of non-performance of the obligation by the Participant, the client is not entitled to claim any compensation either from the Investor Protection Fund or from any fund of BSE or BOISL / NSEIL or NSCCL.
10. In case of any dispute between the Participants and the investors arising out of the BSE STAR MF Fund / MFSS facility, BSE and /or BOISL and NSEIL and / or NSCCL agrees to extend the necessary support for the speedy redressal of the disputes.

Signature of Client



### 32. ELECTRONIC CONTRACT NOTE [ECN] - DECLARATION (VOLUNTARY)

SMC Global Securities Ltd  
11/6B, Shanti Chamber, Pusa Road, New Delhi-110005

Dear Sir, I, **SWAPNA MAHATO**  
member of NCDEX, MCX & ICEX  
Exchange undertake as follows:

a client with M/s. SMC Global Securities Ltd

- I am aware that the Member has to provide physical contract note in respect of all the trades placed by me unless I myself want the same in the electronic form.
- I am aware that the Member has to provide electronic contract note for my convenience on my request only.
- Though the Member is required to deliver physical contract note, I find that it is inconvenient for me to receive physical contract notes. Therefore, I am voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out / ordered by me.
- I have access to a computer and am a regular internet user, having sufficient knowledge of handling the email operations.
- My email id is \*MANISHBHARDWAJ@smcindiaonline.com has been created by me and not by someone else.
- I am aware that this declaration form should be in English or in any other Indian language known to me.
- I am aware that non-receipt of bounced mail notification by the member shall amount to delivery of the contract note at the above e-mail ID.

[The above declaration and the guidelines on ECN given in the Annexure have been read and understood by me. I am aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same.]

Name of Client : **SWAPNA MAHATO**  
PAN : **GNYPM8098D**

Signature of Client



Date

16/12/2022

Place


West Delhi

Client Declaration

I hereby confirm that I have read and understood the contents of the form including declarations, authorization and have also verified the information in the form. I hereby confirm that I have digitally signed the form including declarations, authorizations and copy of documents at all places with inscription  
'Digitally Signed by: SWAPNA MAHATO

<b>Signature of Client</b>	
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# Nominee Declaration Form

To	Date 16/12/2022
Trading Member/Participant's Name	SMC Global Securities Ltd.
Trading Member/Participant's Address	11/6-B, Shanti Chambers, Pusa Road, New Delhi(110005)
UCC/DP ID	MDZ1453 /
Client ID (only for Demat account)	
Sole/First Holder Name	SWAPNA MAHATO
Second Holder Name	
Third Holder Name	
I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.	
<b>Name and Signature of Holder(s)*</b>	
SWAPNA MAHATO	
1. 	2. _____
	3. _____

\* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature



Accounts | DigiLocker | Free, Secure, Flexible and easy-to-... — □ ×

accounts.digitallocker.gov.in/oauth\_partner/register/%252Foauth2...

# MeriPehchaan

NATIONAL SINGLE SIGN-ON SERVICE

by

## DigiLocker

### Digiotech Solutions Private Limited

You are about to link your DigiLocker account with Digiotech Solutions Private Limited application of Digiotech Solutions Pvt. Ltd.. You will be signed up for DigiLocker account if it does not exist.

**Enter Aadhaar Number to continue with DigiLocker**

**Please enter the following text in the box below:**



[Unable to read the above image? Try another!](#)

**Next**

[Return to Digiotech Solutions Private Limited](#)



## Signature

Accounts | DigiLocker | Free, Secure, Flexible and easy-to-use

accounts.digitLocker.gov.in/qaauth\_partner/register/?id=252fcauth2...

### MeriPehchaan

NATIONAL SINGLE SIGN-ON SERVICE

by


### DigiLocker

Digitech Solutions Private Limited

You are about to link your DigiLocker account with Digitech Solutions Private Limited application of Digitech Solutions Pvt. Ltd. You will be signed up for DigiLocker account if it does not exist.

Enter Aadhaar Number to continue with DigiLocker

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# आयकर विभाग Income Tax Department

## PAN VERIFICATION RECORD

Permanent Account Number

[REDACTED PAN NUMBER]

Name	[REDACTED]	
Gender	MALE	
DOB	[REDACTED]	
Verified On	16/12/2022 11:31:08	



Digitally Signed On:  
16/12/2022 11:31:08 IST

**Note:**

1. This PAN data is verified by DigiLocker (<https://digilocker.gov.in>) as per data provided by the issuing authority, Income Tax Department, Govt. of India.
2. This digitally signed verification document is valid as per the IT Act 2000 when used electronically.

## DigiLocker verified e-Aadhaar

This document is generated from verified Aadhaar XML obtained from DigiLocker with due user consent and authentication

Document type	e-Aadhaar generated from DigiLocker verified Aadhaar XML			 <b>XML verified</b>
Generation date	2022-12-16T11:30:59.137+05:30	Download date	2022-12-16T11:30:59.137+05:30	
Masked Aadhaar number	[REDACTED]			
Name	[REDACTED]			
Date of Birth	21-09-1982			
Gender	Male			
c/o , s/o	S/O Bishan Dass Bhatnagar			
Address	[REDACTED]			
Landmark	[REDACTED]	Locality	[REDACTED]	
City / District	West Delhi			
Pin Code	110027	State	Delhi	

