



**SMC Global Securities Limited**  
**Account Opening Team**

Date: \_\_\_/\_\_\_/20\_\_\_

**Sub: Request for registration in additional Exchange and Segments**  
**Ref: Client Code /PAN\_\_\_\_\_**

Dear Sir/Madam,

With reference to my/our aforementioned Client code, I/We hereby request you to register and enable me/us to trade in the selected Exchanges & segments.

I/we have read and understood the Risk disclosure document (RDD), Guidance Note and Policies & Procedure along with Rules, Bye-laws and Regulations of the Derivative Segment of the Exchanges and agree to abide by them.

I/We have read and understood the brokerage structure and agree to pay the same.

TRADING PERFRNCES						
Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you.						
Exchanges	NSE, BSE & MSEI					MCX, NCDEX, BSE & NSE
All Segments	Cash / Mutual Fund	Future & Options (F&O)	Currency	Debt	SLBM	Commodity Derivatives
Client Sign	Client Sign	Client Sign	Client Sign	Client Sign	Client Sign	Client Sign
If you do not wish to trade in any of segments / Mutual Fund, please mention here _____.						

**Brokerage Structure (to be filled by SMC branch)**

BROKERAGE STRUCTURE					
SEGMENT	%	Minimum	Options		
			%	Min per lot	Max per lot
Cash (Intraday)					
Cash (Delivery)					
Cash (Trade to Trade)					
F&O					
Currency F&O					
Commodity F&O					

- Note:**
- Rs. 25/- will be charged minimum per contract note.
  - Securities Transaction Tax (STT) & Commodities Transaction Tax (CTT), GST, Other Charges, Stamp Duty and Other Statutory Charges will be levied separately as applicable from time to time.
  - Transaction charges will be levied extra as per Company policy as applicable from time to time.
  - The company reserves the right to review brokerage, transaction charges and other charges within limits set by SEBI, with sufficient prior notice.



### RISK DISCLOSURES ON DERIVATIVES

Source: SEBI study dated January 25, 2023 on "Analysis of Profit and Loss of Individual Traders dealing in equity Futures and Options (F&O) Segment", wherein Aggregate Level findings are based on annual Profit/Loss incurred by individual traders in equity F&O during FY 2021-22.

- a) 9 out of 10 individual traders in equity Futures and Options Segment incurred net losses.
- b) On an average, loss makers registered net trading loss close to ₹ 50,000.
- c) Over and above the net trading losses incurred, loss makers expended an additional 28% of net trading losses as transaction costs.
- d) Those making net trading profits, incurred between 15% to 50% of such profits as transaction cost.

## **Documents to be attached (Mandatory)**

### **For Individual Clients**

1. Income Proof (**ANY ONE**)
  - Bank Statement/Passbook for last 6 months (last entry should not be older than 3 months)
  - Demat A/c Holding Statement (with Value & duly Stamped by DP)
  - Latest Income Tax Return (ITR)
  - Latest Salary Slip/ Form-16
  - Latest Net-worth Certificate by CA/CS
2. KYC form along with copy of Photo, PAN and Address proof. It is required only if KYC is not registered.

### **For Non Individual clients (Corporate/HUF/Trust/Firm)**

1. Income Proof (**ANY ONE**)
  - Bank Statement for last 6 months (last entry should not be older than 3 months)
  - Demat A/c Holding Statement (with Value & duly Stamped by DP)
  - Latest Income Tax return (ITR)
  - Latest Net-worth Certificate by CA/CS
  - Latest Annual Accounts
2. FATCA Declaration (if not submitted earlier)
3. KYC form with documents. It is required only if KYC is not registered.
4. Please affix rubber stamp along with signature.