



To,
SMC Global Securities Limited

Date: ___/___/20___

Subject: Account Reactivation request

Ref: Trading code _____

Dear Sir,

I/We am/are having above mentioned trading account with SMC Global Securities Limited. I/we have not traded in Equity (Cash, F&O, currency) and/or commodity segment for more than 12 months hence my account is marked dormant. However, I/we am/are desirous to start trading again. In this regard, you are requested to reactivate my/our trading account and allow trading with immediate effect.

I/We hereby undertake and confirm that there are no changes in my/our KYC details (Address, contact details, Bank account, and residential status) provided to SMC earlier. Further, if there is any change, I will provide SMC complete KYC form.

I/we declare that the information given above is true to my/our knowledge. I/we, therefore, request you that the requirement of fresh KYC may not be insisted upon.

Yours Faithfully,

(Signature of client)

(Name of the client/entity)

Note: To be taken on the letterhead and affix rubber stamp in case of non-individual client.

For RM/AP use

In-Person Verification (IPV) conducted by:

Details of SMC Employee/RM/ AP:

Name: _____

Code: _____

Designation: _____

Signature: _____ Date: _____



Financial Details Updation and FATCA Declaration Form

To,
SMC Global Securities Limited

Dear Sir/Madam,

I/We would request you to update the below mentioned details pertaining to my trading and/or demat account held with SMC Global Securities Limited.

| | | | |
|---|---|--|--|
| Financial Details | Income Range (Per Annum) as on date ___/___/20__ | | |
| Gross Annual Income Details (Please Specify) | <input type="checkbox"/> Below Rs 1 Lakh <input type="checkbox"/> Rs 1 - 5 Lakh <input type="checkbox"/> Rs 5 - 10 Lakh <input type="checkbox"/> Rs 10 - 25 Lakh <input type="checkbox"/> Above Rs 25 Lakh | | |
| | #Provide document in support of financial details (mandatory for Derivatives) | | |
| Net worth (should not be older than 1 year) (Mandatory for Non-individual) | Rs. _____ as on date ___/___/20__ | | |
| Occupation (In case of Individual) | <input type="checkbox"/> Private Sector <input type="checkbox"/> State Government <input type="checkbox"/> Agriculturalist <input type="checkbox"/> Student | <input type="checkbox"/> Public Sector <input type="checkbox"/> Business* <input type="checkbox"/> Retired <input type="checkbox"/> NGO | <input type="checkbox"/> Central Government <input type="checkbox"/> Professional <input type="checkbox"/> House Wife <input type="checkbox"/> Others _____ |
| *If business is selected, then provide nature of business | | | |
| Politically Exposed (Please tick) | | | |
| <input type="checkbox"/> Not Politically Exposed Person (PEP) / Not Related to Politically Exposed Person (PEP) <input type="checkbox"/> Politically Exposed Person (PEP) / Related to a Politically Exposed Person (PEP) | | | |
| FATCA Declaration | | | |
| Are you resident outside India for Tax Purpose <input type="checkbox"/> No <input type="checkbox"/> Yes (<i>provide additional information</i>) Country of Birth <input type="checkbox"/> India <input type="checkbox"/> Other _____ (<i>specify</i>) City of Birth _____ (<i>specify</i>) | | | |
| <i>If you are resident outside India for Tax Purpose, provide following additional information:</i> | | | |
| Country of Tax residence _____ Tax Identification Number (TIN) _____ (<i>attach TIN proof</i>) | | | |
| #Documents that can be submitted in support of financial details (any one): | | | |
| (i) Copy of ITR (ii) Copy of Annual Accounts / Balance Sheet and P&L (iii) In case of salary income - Salary Slip or Copy of Form 16 (iv) Net worth certificate (v) Copy of latest demat account holding statement with value (vi) Bank account statement for last 6 months | | | |

Trading code: _____

Client Signature: _____

Date: ___/___/20__